

NEW APPLICATION



0000101757

BEFORE THE ARIZONA CORPORATION COMMISSION

COMMISSIONERS

2009 AUG 26 A 11:09

Arizona Corporation Commission

DOCKETED

AUG 26 2009

DOCKETED BY

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KRISTIN K. MAYES, Chairman
GARY PIERCE
PAUL NEWMAN
SANDRA D. KENNEDY
BOB STUMP

In the matter of

CREDIT SUISSE SECURITIES (USA) LLC
1 Madison Avenue, 9th Floor
New York, New York 10010

Respondent.

DOCKET NO. S-20699A-09-0404

FILING TO OPEN DOCKET

The Securities Division of the Arizona Corporation Commission requests that a Docket be opened for the purpose of assigning a docket number to a proposed Order to Cease and Desist, Order for Administrative Penalties, and Consent to Same by the above-referenced respondent.

Respondent's mailing address is:

1 Madison Avenue, 9th Floor
New York, New York 10010

Dated this 26th day of August 2009.

ARIZONA CORPORATION COMMISSION

By

Cheryl T. Farson

General Counsel for the Securities Division
of the Arizona Corporation Commission

1 SERVICE LIST FOR: Credit Suisse Securities (USA) LLC

2 DOCKET NO.: S-20699A-09-0404

3 Matthew J. Neubert, Director
4 Securities Division
5 ARIZONA CORPORATION COMMISSION
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